

W1019-01EW

INFORMATION UNDER 37 CFR 1.56(a) (For Initial Filing)

The following references are submitted as information to comply with the duty of disclosure under 37 CFR 1.56(a):

	Disclose	d in the		Сору		Translation		
References	specific Yes	No	Enc.	Follow	Please obtain	Enc.	Not avail- able	
1. U.S. Patent No. 5,772,905	х		х					
2. /Roberts Culbert/ 04/19/2006								
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Substitute	Substitute for form 1449APTO			Complete if Known			
				Application Number	10/628,275		
	INFORMATION DISCLOSURE			Filing Date	7/29/2003	_	
STATEMENT BY APPLICANT			ANT	First Named Inventor	M. Hasegawa		
	•			Art Unit	1700 - 1763		
	luca ac many chaote ac	naraees	w)	Examiner Name	- TDD Culbert		
Sheet	1	Of	1	Attorney Docket Number	500 42846X00		

U.S. PATENT DOCUMENTS							
Examiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
RC		US- 6,350,360	2/26/2002	Bonivert, et al.			
		US- 6,306,547	10/23/2001	Kobayashi			
		US- 6,127,263	10/3/2000	Parikh			
		US- 5,789,117	8/4/1998	Chen			
V		US- 5,817,242	10/6/1998	Biebuyck, e t al.			
RC		US- 6,190,809	2/20/2001	Tzu, et al.			

FOREIGN PATENT DOCUMENTS								
Examiner Initials'	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁶ (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7⁵		
		EP 1 378 663	1/2/2004	Hewlett-Packard Development Company, L.P.				

Examiner Initials*	Cite No.	item (book, magazine, journal, serial, symposis	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
RC		Communication and Partial European Search Re	eport issued October 15, 2004,	for No. EP 03 01 6539				
RC		Li, et al., "Direct three-dimensional patterning us Vol. 78, No. 21, May 21, 2001, pages 3322-4	ing nanoimprint lithography", A	pplied Physics Letters.				
Examiner Signature		/Roberts Culbert/	Date Considered	04/19/2006				

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. **RADEMARK** **PRADEMARK** **TRADEMARK** **T Under the Paperwork Reduction Ac Complete if Known Substitute for form 1449A/PTO 10/628,275 Application Number INFORMATION DISCLOSURE Filing Date 7/29/2003 STATEMENT BY APPLICANT First Named Inventor M. Hasegawa 476<u>2</u> 1763 **Art Unit Examiner Name** Not Yet Assigned Culbert of Attorney Docket Number **Sheet** 500,42846X00

		·	U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
RC		US- 6,350,360 B1	02-26-2002	Bonivert, et al.	
1		US- 6,306,547 B1	10-23-2001	Kobayashi	
		US- 6,127,263	10-03-2000	Parikh	
		US- 5,789,117	08-04-1998	Chen	
		US- 5,817,242	10-06-1998	Biebuyck, et al.	
\mathbf{V}		US- 6,190,809 B1	02-20-2001	Tzu, et al.	
RC		US- 2002/0050220 A1	05-02-2002	Schueller, et al.	
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FOREIGN PATENT DOCUMENTS							
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RC		EP 1 376 663 A2	01-02-2004	Hewlett-Packard Development Co., LP			
RC		EP 1 003 078 A2	05-24-2000	Coming Incorporated			
RC		DE 102 17 089 A1	10-30-2003	Institut Fuer Neue Materialien Gemeinnuetzige Gmbh			
RC		WO 03/096123 A1	11-20-2003	Institute of Materials Research and Engineering		-	

			
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Substitute for form 1449/PTO				Complete if Known			
				Application Number	10/628,275		
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STAT	STATEMENT BY APPLICANT			First Named Inventor	M. Hasegawa		
				Group Art Unit	4 752 1763		
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Sheet	2	of	2	Attomey Docket Number	42846X00		

	T	NON PATENT LITERATURE DOCUMENTS	γ—
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
RC		MINGTAO, LI et al., Direct Three-Dimensional Patterning Using Nanoimprint Lithography, Applied Physical Letter, American Institute of Physics, 21 May 2001, vol. 78, no. 21, pages 3322-3324.	
RC		European Search Report dated May 6, 2005 in EP 03 01 6539	
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